DMT 724-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Wei et al.

Appl. No.

09/826,212

Filed: April 5, 2001

For: Tumor Necrosis Factor

Receptor 5

Confirmation No. 3523

Art Unit: 1646

Examiner: O'Hara, Eileen B.

Atty. Docket: 1488.1280006/EKS/GLL/SJE

Notice of Appeal From the Examiner to the Board of Patent Appeals and Interferences - Large Entity

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants hereby appeal to the Board of Patent Appeals and Interferences from the final decision of the Examiner dated March 11, 2003, in which claims 176-190 and 210-220 were finally or twice rejected.

The fee (for a large entity) for filing a Notice of Appeal from the Examiner to the Board of Patent Appeals and Interferences (37 C.F.R. § 1.17(b)), along with any necessary extension fees (37 C.F.R. § 1.17(a)), is included in our PTO-2038 Credit Card Payment Form. In the event that extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

07/21/2003 DTHOMAS 00000001 09826212

01 FC:1401

320.00 OP

Respectfully submitted,

Sterne, Kessler, Goldstein & Fox P.L.L.C.

. wongsworth

Gaby L. Longsworth, Ph.D.

Agent for Applicants Registration No. 47,756

Date: June 11, 2003 1100 New York Avenuc, N.W. Washington, D.C. 20005-3934

(202) 371-2600-

5KGP DC1;141865.1



Commissioner for Patents June 11, 2003 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

Sterne, Kessler, Goldstein & Fox p.l.l.c.

Galy h. hongsworth

Gaby L. Longsworth, Ph.D. Agent for Applicants
Registration No. 47,756

EKS/GLL/SJE:kim Enclosures

SKGF_DC1:143892.1



Official 7/11/03

Fax

□Urgent □ Return reply requested

☐ Original will be sent as confirmation

To: U.S. Patent and Trademark Office

Date: July 11, 2003

Attention: Examiner Eileen B. O'Hara

Re: U.S. Utility Patent Application

From: Gaby L. Longsworth, Ph.D.

Appl. No. 09/826,212; Filed: April 5, 2001 For: Tumor Necrosis Factor Receptor 5

Inventors: Wei et al.

Pages (including cover sheet): 7

Fax No: (703) 746-6901

Our Reference: 1488.1280006/EKS/GLL

Message

Dear Exam. O'Hara:

As we discussed yesterday, attached are copies of the documents filed on June 11, 2003, evidencing that a Notice of Appeal was filed.

If any portion of this transmission is not received clearly or in full, contact us at 202.371.2600 or f 202.371.2540.

This message is intended for the exclusive use of the individual or entity to which it is addressed. The message may contain information that is privileged, confidential, or otherwise exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this communication in any way is strictly prohibited. If you have received this communication in error, please call us collect immediately, and return the original message to us at the above address via the U.S. Postal Service.

Sterne, Kessler, Goldstein & Fox P.L.L.c.: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skgf.com



Wei et al.

09/826,212

April 5, 2001

Due Date: June 11, 2003

Art Unit: 1646

Examiner: O'Hara, Eileen B.

Docket: 1488.1280006

Atty: EKS/GLL/SJE

For: Tumor Necrosis Factor Receptor 5

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

SKGF Cover Letter;

Application N .:

Fee Transmittal (PTO/SB/17);

Filed:

Applicants:

3. Notice of Appeal from the Examiner to the Board of Patent Appeals and Interferences - Large Entity;

4. Credit Card Payment Form (PTO-2038) in the amount of \$320.00 to cover the Notice of Appeal fee; and

Return postcard.

SKGP_DC1;143894.1

Mail Stop AF

Please Date Stamp And Return To Our Courier





Rabert Greene Stene
Steward J. Kestler
Jorge A. Goldstein
David K.S. Conwell
Roben W. Exmand
Tacy-Gene G. Durkin
Michael B. Ray
Roben E. Sakahl
Eric K. Steffe
Michael O. Lee
Steven R. Luthwig
John M. Covert
Lyda E. Alcam
Robert C. Millonig
Lawrence B. Bugastry
Donald J. Festivastionin
Michael O. Wessineer
Michael V. Wessineer

Audith U. Kim Timodry J. Stree, Ir. Patrick E. Gamen. Held I. Kraus Echrard W. Yee Albert I. Ferra Donald R. Bampwil Peter A. Jackman Molly A. McCall Tereja U. Medler Jeffery S. Woaver Kendijk P. Patterson Vincent L. Equation Albert I. Feauto II* Eldon Billion Floyd Thomas C. Flafa Brian J. Oed Buseno Wrigil Lee Beaston*

Kimberly N. Reddick Theodare A. Wood Etrabeth A. Haener Bruce E. Chaffer Frank R. Cortingham Cristlake M. Hudler Rae Lynn Prengaman James Shershenovich' Lawrence J. Carroll' Secree S. Bardmesser Dentel A. Klein Born D. Etkenberg Withael D. Spechl Jance J. Kanage ray L. Milder on E. Wilght'

LuAnne M. Yartouk*
John J. Figueroa
Ann E. Summerfield
Registered Patent A.
Karren R. Markowić
Narcy J. Leich
Helene C. Carbon
Geby L. Longswort
Matthew J. Dowd
Aaron L. Schwarz
Angelitule G. Uy
Many B. Yung
Kalima V. Pel
Bryan L. Sleiton
Robert A. Schwarz
Robert A. Schwarz
Robert A. Schwarz

Yeresa A. Cotalia Jeffrey S. Lundgren Victoria S. Rutherlord Eric O. Hayes

Of Counsel Kenneth C, 8355 (I) Lisa A. Dunner

*Admixted only in Mandand

*Admixted only in Virginia

*Practice Limited to
Federal Agencies

June 11, 2003

WRITER'S DIRECT NUMBER: (202) 772-8824 INTERNET ADDRESS; GLONGS@SKGF.COM

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Mail Stop AF

Re:

U.S. Utility Patent Application

Appl. No. 09/826,212; Filed: April 5, 2001 For: Tumor Necrosis Factor Receptor 5

Inventors:

Wei et al.

Our Ref:

1488.1280006/EKS/GLL/SJE

Art Unit:

1646

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Fee Transmittal (PTO/SB/17);

2. Notice of Appeal from the Examiner to the Board of Patent Appeals and Interferences - Large Entity;

3. Credit Card Payment Form (PTO-2038) in the amount of \$320.00 to cover the Notice of Appeal fee; and

4. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

NO. 2524 P. 5/7s8/17 (05-05)
Approved us use unduch 4/3/2/2/US. CM8 0651-0032
d Tredemark Office: U.S. DEPARTMENT OF COMMERCE if information unless it displays a valid OMB control number.

Complete If Known

Under the Paper Seduction Act of 1995, no persons are required to respond to a collect

FEE TRANSMITTAL

for FY 2003 Patent fees are subject to annual revision.			Application Number		09/928,212	09/826,212		
			Filing Date		April 5, 200	April 5, 2001		
			First Named Inven		Ying-Fei Wi	Ying-Fei W&i		
☐ Applicant claims small entity status. See 37 CFR 1.27			er Nam	•	O'Hara, Eile	O'Hara, Eilean B.		
TOTAL AMOUNT OF PAYMENT (\$) 320.00			t		1648			
			Attorney Docket No. 1488.1280006/EKS/GLL/8JE					
METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)					
☐ Check Credit card ☐ Money Order ☐ Other* ☐ None		3. ADDITIONAL FEES						
Check Credit card Money Order Other** None Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0038.			Large Entity Small Entity					
Deposit Account Number 19-0036	Code	e Foy Feo Fee de (5) Code (5)			Fee Description		Fee Paid	
Deposit Account Name: Steme, Kessier, Goldstein & Fox P.L.L.C.		130	2051	65	Surcharge - late filing	g fee or oath		
The Commissioner is authorized to: (check all that apply)		2 50	2052	25	Surchame-late provisional filing fee or cover sheet			
☐ Charge fee(s) Indicated below ☐ Credit any over payments			1053	130	Non-English specific			
Charge any additional fee(s) during the pendency of this application		2,520	1812	2,520	For filing a request for	x ex parte reexamination		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		920°	1804	920*	Requesting publication	on of SIR prior to Examine	,	
		1,840*	1805	1,840*	Requesting publication	on of SIR after Examiner		
	1251	110	2251	55	Extension for reply w			
	1252	410	2252	205	Extension for reply w	ithin second month		
FEE CALCULATION	1253	930	2253	465	Extension for reply w	ilhin third month		
1. BASIC FILING FEE	1254	1,450	2254	725	Extension for reply w	ilhin fourth month		
Large Entity Small Entity	1255	1,970	2255	985	Extension for reply w			
Fee Fee Fee Fee Description Fee Paid	1401	, .	2401	160	Notice of Appeal	in the fire fire		
Code (5) Code (5)			l		· ``	_	\$320.00	
1001 750 2001 375 Utility filing fee	1402	320	2402	160	Filing a brief in suppo	rt of an appeal	l. 1	
1002 330 2002 165 Design filing fee	1403	280	2403	140	Request for oral hear	ing		
1003 520 2003 280 Plant filing fee	1451	1,510	1451	1,510	Petition to Institute a public use proceeding			
1004 750 2004 375 Relssuc filing fee	1452	110	2452	55	Petition to revive - un	avoidable	<u> </u>	
1105 160 2005 60 Provisional filing foe	1453		2453	650	Patition to revive - un			
	1501	,,,,,						
SUBTOTAL (1) (\$)				650	Utility issue fee (or relssue)			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Foo from		470	2502	235	Design issue fee		,	
Extra below Fee Paid Total Claims 20** = X =	1503	630	2503	315	Plant issue fee			
Indep. Claims 3 = X =	1460	130	1460	130	0 Pétitions lo lhe Commissioner			
Multiple Dependent =		50	1807	50	Processing fee under	37 CFR 1.17(q)		
Large Entity/Small Entity		160	1808	180	Submission of Informa	ation Disclosure \$1mt		
Fee Fee Fee Fee Fee Description	8021	40	8021	40	Recording each palen property (times number	t assignment per		
1202 18 2202 9 Claims in excess of 20	1809	750	2809		Filing a submission aff	er final rejection (37 CFR		
1201 64 2201 42 Independent claims in excess of 3	1				1.128(8))	ì		
1203 280 2203 140 Multiple dependent claim, if not paid 1204 84 2204 42 **Release Independent claims	1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))			
1204 84 2204 42 **Releave Independent claims over original patent	1801	750	2801	375 Request for Continued Examination (RCE)				
1205 18 2205 9 **Reissue claims in excess of 20	1802	900	1802	900	Request for expedited	examination of a design		
and over original palent		аррисаноп						
305101AL (2) (3) -U-		ther fee (specify)						
			Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 320.00					
SUBMITTED BY	-					Complete (# applicable)		
Name (Print/Type) Gaby L. Longsworth - R		distration No. omey/Agent)		4	7,756	Telephone 202-371-	2600	
						· ·		

WARNING: Information on this form, Provide credit card information and authorization on PTO-2018.

This collection of information is required by 37 CFH 1.14. This collection is estimated to lake 12 minutes to complete, including gathering, preparing, and submitting in the USPTO to process) an application. Confidentially depending upon the individual case. Any comments on the amount of time to complete this formation is estimated to lake 12 minutes to complete, including gathering, preparing, and submitting in the completed form to the USPTO. Time will vary and Trademark Office. U.S. Oppariment of Commerce, O.P. Box 1450, Alexandria, VA 22313-1450. DO NOT SENO PLES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents,